Financial Adviser Profile

Overview

Brisbane born and raised, Tom has worked in finance for over 22 years and has been providing financial advice for individuals, couples, and families across South-East Queensland since 2008.

Specialising in pre- and post-retirement planning, Tom takes the time to understand client's individual needs and circumstances so that he can build a personalised plan that works for them.

Tom's professional goal is to help his clients make confident investment and financial decisions. He coaches his clients on financial concepts, ensuring they feel well-informed about their options and the decisions they have for their financial investments.

Tom values the relationships and partnerships he builds with his clients. He co-designs strategies that create and protect wealth, to help his clients confidently transition to a comfortable and sustainable retirement.

Tom Neylan is a Sub-Authorised Representative of Sharpe Financial Solutions Pty Ltd, Corporate Authorised Representative No. 440815. Authorised Representative No. 1005004.

Qualifications

Tom holds a Graduate Diploma of Financial Planning, an Advanced Diploma of Financial Services (Financial Planning) and a Diploma of Financial Advising, and meets the competency requirements under ASICs Regulatory Guide RG 146.

Professional Memberships

Tom is a member of Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

As an approved FAAA Professional Practice, Sharpe Financial Solutions Pty Ltd complies with the highest ethical and professional standards set by the FAAA.

Tom Neylan

Sharpe Financial Solutions

Ground Floor
67 Astor Terrace
Spring Hill QLD 4000

Postal: PO Box 159
Lutwyche QLD 4031

Phone: 07 3831 8598
Mobile: 0411 259 839

tom@sharpefinancialsolutions.com.au
www.sharpefinancialsolutions.com.au

Financial Adviser Profile

Authorisations

Tom is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities
- Standard Margin Lending Facility.

Sharpe Financial Solutions Advice Fees and Charges

Tom Neylan will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

You may be charged a fee of $375 (incl. GST) for your initial consultation with Tom.

Tom's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Tom's hourly rate for Financial Services is $375 (incl. GST) and you will be notified of the time involved prior to the commencement of any work if applicable.

Tom provides the option of ongoing advisory services. This fee is either a fixed fee p.a. (incl. GST) or a percentage (%) p.a. of the value of your holdings (incl. GST). You will be notified of the cost involved prior to the commencement of any ongoing services.

Sharpe Financial Solutions pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Tom is a Director of Sharpe Financial Solutions and will receive a salary/benefit from this company.

Other Benefits Tom May Receive

From time to time Tom may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

Level 1, 607 Bourke Street
Melbourne Victoria 3000
1300 306 900
www.capstonefp.com.au